

2131

PTO/SB/21 (05-03) (AW 0: -03)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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SM**TRANSMITTAL  
FORM**

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Total Number of Pages in This Submission 5 + 3 References

Application Number	09/381,996
Filing Date	September 27, 1999
First Named Inventor	Masazumi Yamada
Art Unit	2131
Examiner Name	To Be Assigned
Attorney Docket No.	MTS-VO3175

**RECEIVED**

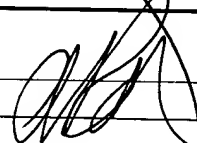
OCT 28 2003

Technology Center 2100

**ENCLOSURES (Check all that apply)**

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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/Declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under<br>37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation,<br>Change of Correspondence<br>Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication<br>to Group<br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply<br>Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please<br>identify below):<br><br>Return Postcard |
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**Remarks:****SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

Firm or Individual	Allan Ratner	Registration No. (Attorney/Agent)	19,747
Signature			
Date	October 22, 2003		

**CERTIFICATE OF TRANSMISSION / MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

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Name (Print/Type) Allan Ratner

Signature

Date October 22, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Appln. No.: 09/381,996

MTS-VO3175

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: 09/381,996  
Applicant: Masazumi Yamada et al.  
Filed: September 27, 1999  
Title: DATA RECORDING/REPRODUCING METHOD, DATA RECORDING/  
REPRODUCING SYSTEM, RECORDING APPARATUS, REPRODUCING  
APPARATUS AND PROGRAM RECORDING MEDIUM  
TC/A.U.: 2131  
Examiner:  
Confirmation No.:  
Docket No.: MTS-VO3175

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
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S I R :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the Form PTO-1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following reference is not in the English language:

- 1) CN 1159235A

Reference 1) includes an European corresponding patent.

Reference 1) was cited in a Chinese Office Action in a patent application corresponding to the above-identified patent application. A copy of this Office Action, which indicates the degree of relevance found by the foreign office, is transmitted herewith. Also enclosed is an English translation to explain the special category definitions of the Chinese language Office Action. MPEP 609 IIIA(3), second paragraph.

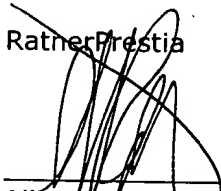
This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee is required. 37 C.F.R. § 1.97(b).

STATEMENT

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Ratner Prestia

  
Allan Ratner, Reg. No. 19,717  
Attorney for Applicants

AR/kc

Enclosures: Form PTO-1449  
(2) References  
Chinese Office Action

Date: October 22, 2003

P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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